

Attorney Docket No. 55821 (71699)

Secret

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANT:** Signe Varner, et al.

**U.S.S.N.:** 09/888,079

**ART UNIT:** Unknown

**FILED:** June 22, 2001

**EXAMINER:** Unknown

**FOR:** METHOD AND DEVICE FOR SUBRETINAL DRUG DELIVERY

\*\*\*\*\*

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231

Date: October 5, 2001

Annemarie Serrecchia  
By: Annemarie Serrecchia

\*\*\*\*\*

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS FILING DATE  
GRANTED AND REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

The following is in response to the Notice to File Missing Parts - Filing Date  
Granted mailed August 15, 2001, in the above referenced application.

Enclosed herewith for filing in the subject application are the following:

1. A copy of the Notice to File Missing Parts -Filing Date Granted for the subject application.
2. A properly signed oath or declaration in compliance with 37 CFR 1.63 with power of attorney, identifying the application by the above Application Title and Filing Date, and including residence information.

3. A statement by a registered attorney that the application filed in the PTO is the application that the inventors executed by signing the oath or declaration and power of attorney.
4. Assignment and Recordation Form Cover Sheet.

Completion of application filing fees as calculated below:

1. Surcharge for late payment of filing fee and/or late filing of original declaration or oath.....	\$ 65.00
2. The statutory basic filing fee.....	\$ 370.00
3. 3 Independent claims over 3 .....	\$ 126.00
4. 28 claims over 20.....	\$ 252.00
5. Multiple dependent claim surcharge.....	<u>\$ 140.00</u>
TOTAL.....	\$953.00

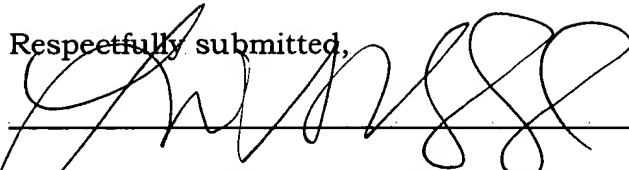
Applicant notes that Assignee, Johns Hopkins University, is a small entity.  
Namely, Johns Hopkins University is a non-profit organization – A University or Other Institution of Higher Education.

Enclosed herewith is a check for \$ 953.00 in payment of the total fee due, along with a check in the amount of \$40.00 for recording the enclosed Assignment.

Applicants also respectfully request that the official filing receipt be corrected to reflect the inventorship of the subject application as provided on the enclosed declaration and to include the addresses for each of the identified inventors.

If for any reason a fee is required, a fee paid is inadequate or credit is owed for any excess fee paid, you are hereby authorized and requested to charge Deposit Account No. **04-1105**.

Respectfully submitted,

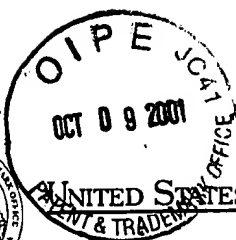


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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/888,079	06/22/2001	Signe Varner	55821 (71699)

CONFIRMATION NO. 6574

## FORMALITIES LETTER



\*OC000000006426749\*

Dike, Bronstein, Roberts & Cushman  
Intellectual Property Practice  
Group of Edwards & Angell, LLP  
P.O. Box 9169  
Boston, MA 02209

Date Mailed: 08/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

10/11/2001 SMINASS1 00000012 09888079

FILED UNDER 37 CFR 1.53(b)

01 FC:2001 370.00 OP  
02 FC:2001 65.00 OP  
03 FC:2001 126.00 OP  
04 FC:2001 252.00 OP  
05 FC:2001 140.00 OP

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1014.
  - \$504 for 28 total claims over 20.
  - \$240 for 3 independent claims over 3.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1854.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

***A copy of this notice MUST be returned with the reply.***

*up*

### Customer Service Center

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

**THE UNIVERSITY OF CHICAGO**